9/16/04





NVI 5268.2 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Arindam Roy, et al. Serial No. 10/795,790 Filed March 8, 2004 Confirmation No. 7703

Art Unit 1625

For A PROCESS FOR ENZYMATICALLY RESOLVING AN ENANTIOMERIC MIXTURE OF ALPHA-HYDROXY ACIDS

September 15, 2004

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

SIR:

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Respectfully submitted,

Short R Kinn

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PTO/SB/08A	Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/795,790	
STATEMENT BY APPLICANT	Filing Date	March 8, 2004	
(use as many sheets as necessary)	Confirmation Number	7703	
OIPE	First Named Inventor	Arindam Roy, et al.	
( mag min	Group Art Unit	1625	
SEP 15 2004 25	Examiner Name	To Be Assigned	
Sheete made walk 1 of 1	Attorney Docket No.	NVI 5268.2	

<u>.</u>			U.S.	PATENI	DOCUMENTS			
,	1.		U.S. Patent Document			Date of Publication of Cited Document MM-DD-YYYY		
Examiner Initials*	Cite No. <sup>1</sup>	Number		Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document			
<del>-</del>	23	4,572,897			Amotz, et al.	02/25/1986		
			FOREI	GN PATE	ENT DOCUMENTS	•		
Examiner Initials*	Cite No.1	Foreign Patent Document						
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>	
	24	PCT	WO 02/40438	A1	Ohrlein, et al.	05/23/2002		
		ОТН	IER ART - NON	I PATEN	T LITERATURE DOCUMEN	TS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					T <sup>6</sup>	
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	27		Balcao, et al., Bioreactors with Immobilized Lipases: State of the Art, Enzyme and Microbial Technology, 1996, Vol. 18, pgs. 392-416					
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Examiner		Date	
Signature		Considered	·

<sup>\*</sup>EXAMINER: Initiat if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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